



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

65-205 loc #36300

In re Patent Application of	)	Group Art Unit: UNKNOWN
ROBERT H. GRUBBS ET AL.	)	Examiner: UNKNOWN
Appln. No. 08/693,789	)	<b>RESPONSE TO NOTICE TO FILE MISSING</b>
Filed: July 31, 1996	)	<b>PARTS OF APPLICATION AND SUBMISSION</b>
For: HIGH METATHESIS ACTIVITY	)	<b>OF SMALL ENTITY STATUS, AND</b>
RUTHENIUM AND OSMIUM METAL	)	<b>DECLARATION</b>
CARBENE COMPLEXES	)	2001 Ferry Building
	)	San Francisco, CA 94111
	)	415/433-4150

Application Processing Division  
Special Processing and  
Correspondence Branch  
Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks,	
Washington, DC 20231 on	<u>Oct. 10, 1996</u>
	LIMBACH & LIMBACH, L.L.P.
Dated: <u>10/10/96</u>	By: <u>Dian Sharoun</u>
	Name

Sir:

In response to the Notice to File Missing Parts of Application mailed September 24, 1996 (copy enclosed), applicants submit the enclosed Declaration for Patent Application and Verified Statement Claiming Small Entity Status-Small Business Concern.

A check in the amount of \$65.00 is enclosed herewith to cover the \$65.00 surcharge for filing missing parts of an application. Once the enclosed Small Entity Statement is attached to the file, the extra filing and claim fees requested in this Notice no longer apply.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

October 10, 1996  
(Date)

By:

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W. Patrick Bengtsson  
Registration No. 32,456

CTCH-1620  
(CIT-2123-4 )

Attorneys for Applicant(s)

210 HP 10/31/96 08693789  
1 205 65.00 CK



UNITED STATES DEPARTMENT OF COMMERCE  
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#3

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/693,789	07/31/96	GRUBBS	R CTCH-1620

08/693,789 07/31/96 GRUBBS R CTCH-1620

0252/0924

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2001 FERRY BUILDING  
SAN FRANCISCO CA 94111

DATE MAILED 0000

**NOTICE TO FILE MISSING PARTS OF APPLICATION** 09/24/96  
**FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 1176.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☐ missing ☒ insufficient. Applicant as a ☒ large entity ☐ small entity, must submit \$ 375 to complete the basic filing fee.
2. ☒ Additional claim fees of \$ 671 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover the newly submitted items.  
  
An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
  
An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☒ Other. *Verified statement is unsigned.*

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

**of this notice MUST be returned with the response.**

**COPY TO BE RETURNED WITH RESPONSE**